



If your institution is considering a deposit in the amount of \$250,000 or less, the Deposit Agreement (with Certificate of Incumbency), the ACH Instruction Form, the Proposing Institution's Commitment to Community Reinvestment Form and the Financial Institution contact form are the only documents required.

All documentation listed below will be required if your request for a State deposit is in excess of \$250,000.

## **Acceptance of Appointment of Securities Intermediary by Depository**

An official acknowledgement of the Depository Institution's designation of an approved Securities Intermediary. (Custodian.)

## **Account Control Agreement and Schedule A – Form of Title of Accounts**

The Account Control Agreement is a three-party agreement between the State Treasurer, the Depository Institution, and the Securities Intermediary (Custodian). This agreement outlines the responsibilities of the three parties for the pledging of collateral to secure State deposits. Schedule A – Form of Title of Accounts, in compliance with the Uniform Commercial Code and Illinois' law, defines the titling of accounts on behalf of the State Treasurer to provide a perfected security interest.

## **Collateral Agreement and Exhibit A – List of Acceptable Securities**

An agreement between the State Treasurer and the Depository Institution outlining the requirements for pledging collateral to secure State deposits in excess of the \$250,000 that is covered by FDIC insurance.

## **Commitment to Community Reinvestment Form**

This form is required to be completed pursuant to Illinois Statute 15 ILCS 520/5.

## **Deposit Agreement (with Certificate of Incumbency)**

The Deposit Agreement is an agreement between the State Treasurer and the Depository Institution that outlines the requirements for approved State depositories. Exhibit A – Certificate of Incumbency is an authorization to provide the State Treasurer with the names of individuals authorized to transact business on behalf of the Depository Institution. Transactions include, but are not limited to, signing written requests for new or additional funds, confirming renewal terms for existing deposits, and executing collateral transactions. When completing this form, please include individuals that are authorized by your institution to transact such business in the absence of other authorized personnel. **In addition, please note that if a change of individual(s) authorized to transact business with the State Treasurer occurs, a new Certificate of Incumbency must be completed.**

## **Financial Institution Information Form**

Contact information within the financial institution specific to the various deposit programs.

## **List of Approved Safekeeping Institutions**

Financial Institution and contact information for institutions approved to serve the Treasurer's Office as a securities intermediary.

## **Minutes of the Board of Directors of Depository**

An official acceptance from the Depository Institution's Board of Directors providing written record of the appointment of the Treasurer's approved Securities Intermediary (Custodian) into the minutes of the Board of Director.

## **ACH Instruction Form**

The financial information required to deposit funds with an institution and withdraw the monthly interest earned on the deposit via ACH.